# An Automata-Theoretic Algorithm for Counting Solutions to Presburger Formulas 

Erin Parker ${ }^{\star 1}$ and Siddhartha Chatterjee ${ }^{2}$<br>${ }^{1}$ Department of Computer Science, University of North Carolina, Chapel Hill, NC 27599, USA, parker@cs.unc.edu<br>${ }^{2}$ IBM T. J. Watson Research Center, 1101 Kitchawan Road, Yorktown Heights, NY 10598, USA, sc@us.ibm.com


#### Abstract

We present an algorithm for counting the number of integer solutions to selected free variables of a Presburger formula. We represent the Presburger formula as a deterministic finite automaton (DFA) whose accepting paths encode the standard binary representations of satisfying free variable values. We count the number of accepting paths in such a DFA to obtain the number of solutions without enumerating the actual solutions. We demonstrate our algorithm on a suite of eight problems to show that it is universal, robust, fast, and scalable.


## 1 Introduction

The problem of counting integer solutions to selected free variables of Presburger formulas is relevant to several applications in the analysis and transformation of scientific programs. Researchers have used Presburger formulas to model the iterations of a loop nest [1], the memory locations touched by a loop nest [2], the cache lines touched by a loop nest [2], and the memory accesses incurring cache misses [3]. The well-known Cache Miss Equations (CME) compiler framework [4] for analyzing and tuning memory behavior is based on Presburger arithmetic. Counting the number of solutions to such formulas allows us to estimate the execution time of a loop nest, to evaluate the message traffic generated by a loop nest, and to determine the cache miss rate of a loop nest, among other things. However, the counting problem is inherently difficult to solve in its full generality. Early work [2,5-8] therefore took ad hoc approaches to this counting problem; more recent work by William Pugh [9] and Philippe Clauss [1] provided solution methods that are more systematic and complete.

Pugh's method [9] is based on computing sums. He introduces techniques for summing a polynomial over all integer solutions of selected free variables of a Presburger formula. He expresses the number of solutions symbolically, in terms of symbolic constants that are the remaining free variables in the Presburger formula. For a given summation problem, the choice of which techniques to apply and the order in which to apply them seems to require human intelligence. To our knowledge, no software implementation of Pugh's method exists.

[^0]Clauss' method [1] is based on the vertices of parameterized polytopes and Ehrhart (pseudo-)polynomials [10]. He models a Presburger formula as a disjoint union of rational convex polytopes, the number of integer points in which is equal to the number of integer solutions to selected free variables in the formula. Clauss' method requires a geometric preprocessing step to express the Presburger formulas as disjoint polyhedra. Clauss expresses the number of included integer points using Ehrhart polynomials and periodic numbers, where the free variables are the size parameters (or the remaining free variables in the formula). Like Pugh, Clauss produces a symbolic expression of the number of solutions. Clauss' method is implemented in the Polylib library [11].

The examples that Pugh [9] and Clauss [1] handle have two characteristics: they are smooth functions of their input arguments, and the constants and coefficients that they contain are small (typically order 10-100). Our interest in Presburger arithmetic arises from modeling cache misses, for which the formulas are distinctly non-smooth (see Fricker et al. [12] for examples) and contain large constants and coefficients (cache capacity and array starting addresses being typical examples). The methods of Pugh and Clauss are impractical for handling such formulas. Pugh's method splinters the domain of a non-smooth formula into many subdomains, and the choice of the applicable techniques and their order of application grows combinatorially. Clauss' method requires an Ehrhart polynomial of very high degree and an impractically large number of coefficients, and is also subject to geometric degeneracies for many problems of interest.

Ghosh et al. make similar observations in their CME work commenting that "... to make the framework more effective, we should be able to automatically solve or at least find the number of solutions to the CMEs in parametric form" [4]. They mention the methods of Pugh and Clauss as possibilities, but, given the intrinsic difficulty of the counting problem, do not use these methods to find the number of cache misses explicitly. Instead, they use the CMEs indirectly to derive problem-specific optimization algorithms, and compromise the generality of their framework as a result.

Because of the insufficiency of existing methods for our purposes, we considered other general approaches for counting solutions to Presburger formulas that would work well for non-smooth formulas. Our counting algorithm is fundamentally different from the methods of Pugh and Clauss: it builds on a deep connection between Presburger arithmetic and automata theory, and then converts the counting problem for solutions of the Presburger formula into a graphtheoretic path counting problem on the related deterministic finite automaton (DFA). The key difference between our algorithm and the methods of Pugh and Clauss lies in symbolic capabilities. Both Pugh and Clauss express the number of solutions as closed-form functions of symbolic constants. Our algorithm is not symbolic. It produces the number of solutions for a particular set of numerical values of the symbolic constants, i.e., the result of evaluating Pugh's or Clauss' function at a particular point. Whether this lack of symbolic capabilities is a limitation depends on the application. For instance, scheduling applications require closed-form formulas, while actual numbers may be sufficient for load balancing.

Our counting algorithm is not the only non-symbolic approach for determining the number of integer solutions to Presburger formulas. Barvinok's algorithm [13] (with subsequent improvements by Dyer and Kannan [14]) counts the number of integer points inside a convex polyhedron of fixed dimension in polynomial time. The LattE (Lattice point Enumeration) tool [15], the first known implementation of Barvinok's algorithm, is software for the enumeration of all lattice points inside a rational convex polyhedron. To count the number of solutions to a Presburger formula, Barvinok's algorithm requires a geometric preprocessing step to express the formula as a disjoint union of polyhedra. The LattE tool does not implement the preprocessing step. Our algorithm requires no such geometric preprocessing technique. The quite recent availability of the LattE software combined with the problem of geometric preprocessing has prevented a direct comparison with our counting approach.

The following points summarize the strengths and weaknesses of our counting algorithm.

- The algorithm is universal, handling any problem that is expressible in Presburger arithmetic.
- The algorithm is robust, handling an arbitrary representation of a problem without need for geometric preprocessing.
- The algorithm is implemented.
- The algorithm is fast, as we will demonstrate in Sect. 5 .
- The algorithm can handle formulas with large coefficients and constants, as indicated by the example problems in Sect. 5.
- The algorithm does not handle symbolic constants.

The remainder of this paper is structured as follows. Section 2 provides a brief review of Presburger arithmetic. Section 3 establishes the connection between Presburger arithmetic and DFAs. Section 4 presents our counting algorithm. Section 5 demonstrates the algorithm on eight examples. Section 6 concludes.

## 2 Presburger Arithmetic

Presburger arithmetic is the first-order theory of natural numbers with addition. A Presburger formula consists of affine equality and/or inequality constraints connected via the logical operators $\neg($ not $), \wedge$ (and), $\vee$ (or), and the quantifiers $\forall$ (for all) and $\exists$ (there exists). It is well-known how to express certain nonlinear constraints in Presburger arithmetic, such as floors, ceilings, quotients, remainders [9], and bit-interleaving [3].

Consider Presburger formula $P(i, j, k ; n)=1 \leq i \leq n \wedge 3 \leq j \leq i \wedge j \leq k \leq 5$ with free integer variables $i, j$, and $k$ and symbolic constant $n,{ }^{3}$ and consider the sum $S(n)=\sum_{i=1}^{n} \sum_{j=3}^{i} \sum_{k=j}^{5} 1$ with symbolic constant $n$. We say that

[^1]formula $P(i, j, k ; n)$ "describes" the sum $S(n)$ because, for any given value of $n$, the number of solutions to the Presburger formula is equal to the value of the sum, i.e., $\forall n: S(n)=\mid\{(i, j, k): P(i, j, k ; n)=$ true $\} \mid$.

Presburger arithmetic is decidable [16]; however, the complexity of the decision procedure is superexponential in the worst case. For a sentence of length $n$, the bound on storage and time required is $2^{2^{2^{p n}}}$, for some constant $p>1$ [17]. This bound is tight [18]. However, it is a worst-case bound, and prior use of Presburger arithmetic in program analysis contexts has generally been well-behaved in terms of complexity of decision and simplification algorithms.

## 3 The Presburger Arithmetic-DFA Connection

Our counting method exploits a fundamental connection between Presburger arithmetic and automata theory, namely, that there exists a DFA recognizing the positional binary representation of the solutions of any Presburger formula. Following standard terminology, we define a DFA $M$ as a 5 -tuple $\left(S, \Sigma, \delta, q_{0}, F\right)$, where $S$ is a finite set of states, $\Sigma$ is a finite set of symbols called the alphabet, $\delta: S \times \Sigma \rightarrow S$ is the transition function, $q_{0} \in S$ is the start state, and $F \subseteq S$ is a set of final states. This connection is perhaps not surprising, given that DFAs can describe arithmetic on the binary representation of natural numbers. The key point to remember in transitioning from Presburger arithmetic to DFAs is that we are moving from a domain of values (natural numbers) to a domain of representations (positional binary encoding).

Büchi $[19,20]$ originally proved that a subset of $\left(\{0,1\}^{n}\right)^{*}$ is recognizable by a finite state automaton if and only if it is definable in WS1S (Weak Second-order Theory of One Successor). Boudet and Comon [21] build on this result. Because Presburger arithmetic can be embedded in WS1S, there is a DFA recognizing the solutions of a Presburger formula. Boudet and Comon formalize the connection between Presburger arithmetic and automata in the following theorem.

Theorem 1 (Boudet-Comon [21]). Let $\phi \equiv Q_{n} x_{n}, \ldots, Q_{1} x_{1} \psi$ be a formula of Presburger arithmetic where $Q_{i}$ is either $\exists$ or $\forall$ and $\psi$ is an unquantified formula with variables $x_{1}, \ldots, x_{n}, y_{1}, \ldots, y_{m}$. There is a deterministic and complete automaton recognizing the solutions of $\phi$ with at most $O\left(2^{2^{2^{|\phi|}}}\right)$ states, where $|\phi|=K(\phi)+V(\phi), K(\phi)$ being the sum of the sizes of each constant in the formula $\phi$, written in binary representation, and $V(\phi)$ being the number of variables in formula $\phi$.

The proof of the theorem is constructive, with the construction procedure defined by induction on the structure of $\phi$. The base cases are linear equalities and inequalities, for which DFA recognizers are easy to construct [21-23]. Logical connectives of subformulas utilize closure properties of regular sets under intersection, union, and complementation [24]. Existential quantification is handled by projecting the alphabet and the transition function (producing a nondeterministic finite automaton) followed by determinization and state minimization. Universal quantification exploits the tautology $\forall x \phi \equiv \neg \exists x \neg \phi$.

Note that moving from Presburger formulas to DFAs does not circumvent the difficulty of counting solutions, since both the decidability of a Presburger formula and the construction of a DFA recognizing the formula have triple exponential worst-case complexity. We choose to exploit the Presburger ArithmeticDFA connection because the complexity manifests in a better understood and manageable form. Specifically, it is the translation of universal quantifiers and negation that can cause an exponential blowup in the number of states.

The connection between Presburger arithmetic and DFAs has been widely studied in the context of verification of system properties such as safety and liveness. Several authors [22, 23, 25-27] have refined the procedure for constructing automata from Presburger formulas, extending the scope of formulas from natural numbers to integers, allowing the mixing of integer and real variables, and providing tighter bounds on the number of states of the resulting DFA. In particular, Bartzis and Bultan [22,23] present algorithms for constructing finite automata that represent integer sets satisfying linear constraints. They use MONA [28], an automata manipulation tool, to implement these algorithms. Compared to similar approaches for automata representation, the methods of Bartzis and Bultan give tighter bounds on the size of generated automata. We use the Bartzis and Bultan construction algorithms to represent an affine equality/inequality constraint as a deterministic finite automaton. Using the automata-theoretic operations of union, intersection, complementation, and projection (offered in the MONA tool's automata package), we then combine such DFA representations of the affine equality/inequality constraints constituting a Presburger formula to get the DFA representation of the formula.

## 4 The Counting Algorithm

We now present our algorithm for counting solutions to a Presburger formula. Section 4.1 offers an example DFA used to illustrate our algorithm. Section 4.2 describes how a DFA represents the solutions of a Presburger formula. Section 4.3 looks at treating the DFA as a directed, edge-weighted graph. Section 4.4 explains how path length is used to ensure a correct solution count. Section 4.5 presents our algorithm for counting accepting paths in a DFA. Section 4.6 discusses the implementation of our counting algorithm using the Bartzis and Bul$\tan$ DFA-construction algorithms and the MONA tool.

### 4.1 Example DFA

For illustration of our counting algorithm, consider the following running example. The Presburger formula shown in Fig. 1 describes interior misses ${ }^{4}$ incurred by loop nest $\mathbf{L}$ (of Fig. 2.a). Figure 2.b shows a version of this formula simplified using the Omega Calculator [29,30]. Notice that the clauses in Fig. 2.b are not disjoint. However, this potential difficulty is not an issue during the construction

[^2]$\exists d: 0 \leq i, j, k<20 \wedge((k=0 \wedge R=0 \wedge 4(128 d) \leq 800+i+20 j<4(128 d+1)) \vee(R=1 \wedge$
$4(128 d) \leq i+20 k<4(128 d+1)) \vee(R=2 \wedge 4(128 d) \leq 400+k+20 j<4(128 d+1)) \vee(k=19 \wedge$
$R=3 \wedge 4(128 d) \leq 800+i+20 j<4(128 d+1))) \wedge(\exists u, v, w, F, e: 0 \leq u, v, w<20 \wedge(u<i \vee(u=i$
$\wedge v<j) \vee(u=i \wedge v=j \wedge w<k) \vee(u=i \wedge v=j \wedge w=k \wedge F<R)) \wedge((w=0 \wedge F=0$
$\wedge 4(128 e) \leq 800+u+20 v<4(128 e+1)) \vee(F=1 \wedge 4(128 e) \leq u+20 w<4(128 e+1)) \vee(F=2 \wedge$
$4(128 e) \leq 400+w+20 v<4(128 e+1)) \vee(w=19 \wedge F=3 \wedge 4(128 e) \leq 800+u+20 v<4(128 e+1)))$
$\wedge(\neg(\exists x, y, z, G: 0 \leq x, y, z<20 \wedge(x<i \vee(x=i \wedge y<j) \vee(x=i \wedge y=j \wedge z<k) \vee$
$(x=i \wedge y=j \wedge z=k \wedge G<R)) \wedge(u<x \vee(u=x \wedge v<y) \vee(u=x \wedge v=y \wedge w<z) \vee$
$(u=x \wedge v=y \wedge w=z \wedge F<G)) \wedge((z=0 \wedge G=0 \wedge 4(128 d) \leq 800+x+20 y<4(128 d+1))$
$\vee(G=1 \wedge 4(128 d) \leq x+20 z<4(128 d+1)) \vee(G=2 \wedge 4(128 d) \leq 400+z+20 y<4(128 d+1)) \vee$
$(z=19 \wedge G=3 \wedge 4(128 d) \leq 800+x+20 y<4(128 d+1)))) \wedge \neg(d=e)))$

Fig. 1. Presburger formula describing interior misses incurred by loop nest $\mathbf{L}$ in cache set 0 (assuming a direct-mapped cache with block size 32 bytes and capacity 4 K bytes, double-precision arrays adjacent in memory and linearized in column-major order).

```
L: do i = 0, 19
    do j = 0, 19
        c = Z[i,j] (1\leqi\leq4\wedgej=5^k=12^R=2)\vee
        do k=0, 19 (0\leqi\leq3^j=5^k=12^R=2)\vee
            c = X[i,k]*Y[k,j]+c (4\leqi\leq7 \ j m=11^k=0^R=0)\vee
        enddo
        Z[i,j] = c
    enddo
    enddo
a.
```

$$
\begin{aligned}
& (1 \leq i \leq 4 \wedge j=5 \wedge k=12 \wedge R=2) \vee \\
& (0 \leq i \leq 3 \wedge j=5 \wedge k=12 \wedge R=2) \vee \\
& (4 \leq i \leq 7 \wedge j=11 \wedge k=0 \wedge R=0) \vee \\
& (0 \leq i \leq 3 \wedge j=6 \wedge k=0 \wedge R=1) \vee \\
& (5 \leq i \leq 8 \wedge j=5 \wedge k=12 \wedge R=2)
\end{aligned}
$$

Fig. 2. a. Loop nest $\mathbf{L}$ performs the matrix multiplication $Z=X \cdot Y$. b. Simplified version of the Presburger formula in Fig. 1.
of a DFA to represent the formula. In fact, the formula requires no geometric preprocessing for DFA construction, which makes our algorithm very robust.

The DFA in Fig. 3 recognizes the solutions of the Presburger formula in Fig. 2.b. The start state of the DFA, $q_{0}$, is at node 1 . The final states in set $F$ are denoted with double circles. For this DFA there is one final state at node 10. Node 2 is a garbage state. A DFA can represent $n$-tuples of integers in binary notation as words over the alphabet $\{0,1\}^{n}$ simply by stacking them, using an equal length representation for each integer in the tuple. A label on any edge of the DFA in Fig. 3 is a stack of four digits, each corresponding to a free variable $(i, j, k$, or $R)$. Therefore, the alphabet $\Sigma$ of the DFA is

$$
\left\{\begin{array}{llllllllllllllll}
0 & 0 & 0 & 0 & 0 & 0 & 0 & 0 & 1 & 1 & 1 & 1 & 1 & 1 & 1 & 1 \\
0 & 0 & 0 & 0 & 1 & 1 & 1 & 1 & 0 & 0 & 0 & 0 & 1 & 1 & 1 & 1 \\
0, & 0 & 1 & 0 & 1, & 0 & 0 & 1 & 1 & 1 & , & 0 & 0 & 0 & 1, & 1 \\
0 & 1 & 0 & 1 & 0 & 1 & 0 & 1 & 0 & 1, & 1 \\
0 & 0 & 1 & 0 & 1 & 0 & 1 & 0 & 1
\end{array}\right\} .
$$

Borrowing terminology from hardware logic design, an X in a stack signifies that either a 0 or 1 is possible in that position.

### 4.2 Encoding Free Variable Values

Given the DFA representation of a Presburger formula, each accepting path in the DFA encodes free variable values that constitute a solution to the formula.


Fig. 3. DFA recognizing the solutions of the Presburger formula in Fig. 2.b.

The encoding is the standard binary representation of the integer values of the free variables, proceeding from least significant bit (LSB) to most significant bit $(M S B)$. Formally, an encoding of a non-negative integer $b$ is a word $b_{m} \ldots b_{0}$ such that each $b_{i}$ is 0 or 1 and $b=\sum_{i=0}^{m} b_{i} 2^{i}$. A tuple of non-negative integers is encoded by stacking their binary representations and padding with leading 0s to make the lengths identical.

Let a path of length $i$ from state $p$ to state $q$, denoted $P_{i}(p, q)$, be a string $A \in \Sigma^{i}$ such that $\delta^{i}\left(p, A^{R}\right)=q$, where string $A^{R}$ is the reversal of string $A$. (The string reversal is a notational device to resolve the mismatch between the conventional MSB-first representation of numbers and the LSB-first consumption of the encoding by the DFA.) In the DFA of Fig. 3, one path from the state 1 to $3, P_{1}(1,3)$, is $\begin{gathered}0 \\ 0 \\ 0 \\ 1\end{gathered}$. Path $P_{4}(1,10)=\begin{gathered}1000 \\ 0101 \\ 1000 \\ 0010 \\ 010\end{gathered}$, which goes through states 4,7 , and 11 , is an accepting path identifyng $i=8, j=5, k=12$, and $R=2$ as a solution.

To easily identify one set of states reachable from another set of states, we extend the transition function $\delta: S \times \Sigma \rightarrow S$ to $\Delta: 2^{S} \rightarrow 2^{S}$, defined by $\Delta\left(S^{\prime}\right)=$ $\bigcup_{p \in S^{\prime}}\{\delta(p, a): a \in \Sigma\}$. For the DFA in Fig. 3, $\Delta(\{6,10,11,12\})=\{2,10\}$.

### 4.3 Treating the DFA as a Graph

The key to our algorithm for counting accepting paths in a DFA is to treat the DFA as a weighted, directed graph. For states $p$ and $q$, let $w t(p, q)=\mid\{a \in \Sigma$ : $\delta(p, a)=q\} \mid$ be the number of alphabet symbols that cause transition from state $p$ to state $q$. Given DFA $M=\left(S, \Sigma, \delta, q_{0}, F\right)$, define a directed, edge-weighted graph as $G(M)=(V, E, W)=(S,\{(p, q) \mid \exists a \in \Sigma: \delta(p, a)=q\}, \lambda(p, q) \cdot w t(p, q))$.

The problem of counting the number of solutions represented by a DFA $M$ reduces to a path-counting problem on the graph $G(M)$. Let $N_{i}(q)=\left|P_{i}\left(q_{0}, q\right)\right|$ be the number of paths of length $i$ from the vertex $q_{0}$ to vertex $q$. We build up $N_{i}$ by induction on the path length $i$ as follows.

Theorem 2. For any vertex $q$ and integer $i>0, N_{i+1}(q)=\sum_{e=(p, q) \in E} N_{i}(p)$. $W(e)$. If $q=q_{0}$ then $N_{0}(q)=1$, else $N_{0}(q)=0$.

$$
\begin{aligned}
\text { Proof. } & \sum_{e=(p, q) \in E} N_{i}(p) \cdot W(e)=\sum_{p \in V} N_{i}(p) \cdot w t(p, q) \\
= & \sum_{p \in V}\left|\left\{A=a_{1} a_{2} \ldots a_{i} \in \Sigma^{i}: \delta^{i}\left(q_{0}, A^{R}\right)=p\right\}\right| \cdot\left|\left\{a_{0} \in \Sigma: \delta\left(p, a_{0}\right)=q\right\}\right| \\
= & \sum_{p \in V}\left|\left\{A^{\prime}=a_{0} A=a_{0} a_{1} \ldots a_{i} \in \Sigma^{i+1}: \delta^{i}\left(q_{0}, A^{R}\right)=p \wedge \delta\left(p, a_{0}\right)=q\right\}\right| \\
= & \left|\bigcup_{p \in V}\left\{A^{\prime}=a_{0} A=a_{0} a_{1} \ldots a_{i} \in \Sigma^{i+1}: \delta^{i}\left(q_{0}, A^{R}\right)=p \wedge \delta\left(p, a_{0}\right)=q\right\}\right| \\
= & \left|\left\{A^{\prime}=a_{0} a_{1} \ldots a_{i} \in \Sigma^{i+1}: \delta^{i+1}\left(q_{0}, A^{\prime R}\right)=q\right\}\right| \\
= & N_{i+1}(q) .
\end{aligned}
$$

The base case of the recurrence is trivial.

### 4.4 Path Length

To insure an accurate count of the accepting paths in a DFA, it is important to take into account their length. The fundamental reason for this is that the
map from values to representations is one-to-many, since any representation of a value may be arbitrarily extended with leading 0 s without changing the value that it represents. For example, the DFA in Fig. 3 recognizes at least two different encodings for the values $i=8, j=5, k=12$, and $R=2$. Two possible paths are
 encodings are recognized by the DFA, they specify only one solution. We want to avoid counting this solution twice, and we do so by counting all solutions identified by accepting paths of the same length (i.e., by encoding the values of all free variables in the same number of bits). For any choice of length $L$, the number of accepting paths of that length in graph $G(M)$ is equivalent to the number of solutions to the Presburger formula represented by DFA $M$ such that the value of each free variable comprising the solution is in the range $\left[0,2^{L}-1\right]$.

In order for counting to be feasible, the number of solutions to the Presburger formula must be finite. The Presburger formulas of interest to us are representable by bounded polytopes, where the number of formula solutions corresponds to the number of integer points in the polytopes. The bounded nature of the polytopes ensures a finite number of solutions.

Recall from Sect. 4.2 that accepting paths encode the binary representations of integer values satisfying the Presburger formula represented by the DFA (LSB to MSB). Each integer value has a unique binary representation with the exception of leading 0s. Therefore, a unique formula solution is represented in the DFA by a single accepting path that may be arbitrarily extended with 0s. Let $R$ be the regular expression representing the set of all strings accepted by a DFA that recognizes a Presburger formula $P$. In order for $P$ to have a finite set of solutions (in the value domain), the Kleene star operator can appear only in limited positions in $R$.

Lemma 1. Let DFA M recognize Presburger formula $P$, and let regular expression $R$ represent all accepting paths of $M$. Formula $P$ has a finite number of solutions if and only if $R$ is of the form $0^{*} S$, where $S$ is a regular expression free of the Kleene star operator.

Proof. If formula $P$ has a finite number of solutions, then the set of paths accepted by DFA $M$ must represent a finite set of values. Therefore, regular expression $R$ must represent a finite number of strings, with the exception of any number of 0 s at the beginning.

If regular expression $R$ is of the form $0^{*} S$, then the accepting paths in DFA $M$ are unique except for any number of 0 s at the beginning. Therefore, DFA $M$ recognizes a finite set of solution values.

Lemma 1 gives a property of accepting DFA paths that is critical to our counting algorithm, and it relates the property to the finiteness of Presburger formula solution counts. Note that this property does not apply to non-accepting paths. For example, consider the DFA in Fig. 3, which recognizes a Presburger formula with a finite number of solutions. The non-accepting path that goes
through nodes 1,5 , and 2 violates the $0^{*} S$ pattern, since the self-loop at the beginning of the path arbitrarily extends it with 0 s or 1 s .

Let $V_{i}$ be the set of vertices reachable from vertex $q_{0}$ via paths of length $i$. To start, $q_{0}$ is the only vertex reachable from itself with path length 0 (i.e., $\left.V_{0}=\left\{q_{0}\right\}\right)$. In general, the set of vertices reachable from vertex $q_{0}$ with path length $i$ is $V_{i}=\Delta\left(V_{i-1}\right)$.

The following theorem establishes that when $V_{i}=V_{i-1}$, the sets of vertices reachable from vertex $q_{0}$ via paths of length $k \geq i$ are identical. In other words, the set of vertices reachable from the starting vertex with paths of a certain length reaches a steady state.

Theorem 3. Given a DFA $M$ corresponding to a Presburger formula with a finite number of solutions, if $V_{i}=V_{i-1}$, then $V_{k}=V_{i-1}, \forall k \geq i$.

Proof. For $k=1$, the proof is trivial. Assume for $k=n$ : if $V_{i}=V_{i-1}$, then $V_{n}=$ $V_{i-1}, \forall n \geq i$. Now, if $V_{i}=V_{i-1}$, then $V_{n+1}=\Delta\left(V_{n}\right)=\Delta\left(V_{i-1}\right)=V_{i}=V_{i-1}$, $\forall n+1 \geq i$. By induction, the theorem holds.

Now we want to relate the condition for the set of vertices reaching a steady state to the number of accepting paths. The following theorem shows that when $V_{i}=V_{i-1}$, the number of paths of length $i$ from $q_{0}$ to accepting vertices is the same as the number of such paths of length $i-1$.

Theorem 4. Given a DFA $M$ corresponding to a Presburger formula with a finite number of solutions, if $V_{i}=V_{i-1}$, then $\sum_{q \in F \cap V_{i}} N_{i}(q)=\sum_{q \in F \cap V_{i-1}} N_{i-1}(q)$.

Proof. Given the property in Lemma 1, every accepting path begins with any number of 0 s. Therefore, for any vertex $q$ such that $q \in V_{i}$ and $q \in F$, the only edge involving $q$ is $(q, q)$ such that $w t(q, q)=1$. Finally,

$$
\begin{aligned}
\sum_{q \in F \cap V_{i}} N_{i}(q) & =\sum_{q \in F \cap V_{i}} \sum_{e=(p, q) \in E} N_{i-1}(p) \cdot W(e) \\
& =\sum_{q \in F \cap V_{i}} N_{i-1}(q) \cdot W(q, q) \\
& =\sum_{q \in F \cap V_{i}} N_{i-1}(q) .
\end{aligned}
$$

Given Theorems 3 and 4, we know that when the set of vertices reaches a steady state ( $V_{k}=V_{i-1}, \forall k \geq i$ ), the number of accepting paths does as well $\left(\sum_{q \in F} N_{k}(q)=\sum_{q \in F} N_{i-1}(q), \forall k \geq i\right)$. Notice that set $V_{k}$ can contain garbage states, but the paths reaching steady state are only the accepting paths. Our counting algorithm terminates when $V_{i}=V_{i-1}$ because we can be sure that the number of accepting paths in the DFA has converged.

```
Algorithm 1. Counting solutions to Presburger formula
Input: DFA \(M=\left(S, \Sigma, \delta, q_{0}, F\right)\) corresponding to Presburger formula.
Output: Path length \(L\), number of solutions to original Presburger formula such
        that the value of each free variable is in the range \(\left[0,2^{L}-1\right]\).
Method:
```

```
Construct the graph \(G(M)=(V, E, W)\) from \(M\).
```

Construct the graph $G(M)=(V, E, W)$ from $M$.
$V_{0} \leftarrow\left\{q_{0}\right\}$
$V_{0} \leftarrow\left\{q_{0}\right\}$
$i \leftarrow 0$
$i \leftarrow 0$
repeat
repeat
$i \leftarrow i+1$
$i \leftarrow i+1$
$V_{i} \leftarrow \emptyset$
$V_{i} \leftarrow \emptyset$
for all $q \in V: \exists p \in V_{i-1} \wedge(p, q) \in E$ do
for all $q \in V: \exists p \in V_{i-1} \wedge(p, q) \in E$ do
$V_{i} \leftarrow V_{i} \cup q$
$V_{i} \leftarrow V_{i} \cup q$
Calculate $N_{i}(q)$ using Theorem 2.
Calculate $N_{i}(q)$ using Theorem 2.
enddo
enddo
until $V_{i}=V_{i-1}$
until $V_{i}=V_{i-1}$
$L \leftarrow i-1$
$L \leftarrow i-1$
return $L, \sum_{q \in F} N_{L}(q)$

```
return \(L, \sum_{q \in F} N_{L}(q)\)
```

Fig. 4. Algorithm 1 counts solutions to a Presburger formula.

### 4.5 The Counting Algorithm

The algorithm shown in Fig. 4 counts the number of accepting paths of length $L$ in a directed, edge-weighted graph $G(M)$. We assume a representation of DFA $M=\left(S, \Sigma, \delta, q_{0}, F\right)$ that includes the following pieces of information.

1. states, a list of all states in the DFA;
2. final, a flag for each state $p$ indicating if $p \in F$;
3. to, for each state $p$ a list of the states $q$ such that there exists a transition from $p$ to $q$; and
4. trans, a transition table such that an element $p, q$ is the list of alphabet symbols causing transition from state $p$ to state $q$ (note that in general this table is quite sparse).

Notice that we are counting the number of accepting paths in a directed graph without enumerating each accepting path. Therefore, the cost of our counting algorithm is sublinear in the number of solutions. Line 1 of Algorithm 1 constructs the directed, edge-weighted graph $G(M)$ from DFA $M$. The set of vertices $V$ is simply the set of states $S$. The set of edges $E$ is computed from the to lists of all states. The weight matrix $W$ is computed from the table trans. Element $W(i, j)$ is the count of all alphabet symbols in the list at trans $(i, j)$. The cost of line 1 is $O(|V|+|E|)$.

Lines 7-10 of Algorithm 1 consider all vertices $q$ such that there is an edge from a vertex $p \in V_{i-1}$ to vertex $q$. This step does not require enumeration of all vertices $q \in V$ to check if $(p, q) \in E$. Instead, the to list of each vertex $p \in V_{i-1}$
gives all such vertices $q$. The complexity of lines $7-10$ is $O(|E|)$, and the cost clearly depends on the sparsity of table trans. At worst there is an edge from every vertex $p$ to every vertex $q$, making the worst-case time complexity of lines $7-10 O\left(|V|^{2}\right)$. In general, the cost of computation is much less.

Finally, line 13 of Algorithm 1 requires only a query to the final flag of each state, and its cost is $O(|V|)$. The complexity of the entire algorithm depends on the number of times lines $4-11$ repeat, which is indicated by the output parameter $L$. At worst, one or more accepting paths pass through all vertices of $G(M)$, making $O(|V|)$ the upper bound on $L$. The bound on the complexity of the entire algorithm is $O(|E| \cdot L)$, which is at worst $O\left(|V|^{3}\right)$. Empirical results shown in Fig. 8 suggest that the complexity is subquadratic in $|V|$.

### 4.6 Implementation

MONA [28] is an automata manipulation tool that also implements decision procedures for WS1S (Weak Second-order Theory of One Successor) and WS2S (Weak Second-order Theory of Two Successors). The automata are represented by shared, multi-terminal Binary Decision Diagrams. Bartzis and Bultan [22, 23] use the MONA tool's automata package to implement their procedures for constructing DFAs from linear constraints. The automata package includes DFA operations such as union, intersection, complementation, and projection, used to combine DFAs representing linear constraints into a DFA representing a Presburger formula. Implementation of the counting algorithm in Sect. 4.5 invokes functions of automata package to access the generated DFA's state and transition information.

## 5 Examples

This section considers eight example problems and gives results of using our counting algorithm on each. Examples $1-7$ come from related work $[1,2,5,6,9$, 7, 8]. Clauss [1] handles Examples 1-7, while Pugh [9] handles Examples 1-6. Example 8 comes from [3] and is included to show the generality and robustness of our algorithm. All running times were collected on a 450 MHz Sparc Ultra 60. Note that Pugh and Clauss give no running times for the application of their techniques, so we cannot compare our running times to theirs.

For Examples 1-6, we have verified that the solution counts given by our algorithm match those given by both Pugh and Clauss. For Example 7, we have verified that our solution counts match those of Clauss. For Example 8, we have verified that our solution counts match the interior miss counts reported by a (specially-written) cache simulator. We use the DFA-construction algorithms of Bartzis and Bultan [22, 23] to construct the DFA representation of the Presburger formula in each example.

In order to count the number of solutions using Barvinok's algorithm [13], a geometric preprocessing step is necessary to express the formulas as disjoint unions of polyhedra in all eight examples. The LattE tool's [15] implementation
of Barvinok's algorithm [13] does not include such a step, and for that reason, we are unable to compare our counting method with Barvinok's.

We use the following notation in the examples below. The sum $S_{i}$ and formula $P_{i}$ pertain to Example $i$. The notation $(\Sigma: G: x)$ indicates a guarded sum: if $G$ is true, the value of the expression is $x$, otherwise it is 0 . In the tables below, horizontal lines indicate a change in $L$, the path length of accepting DFA paths.

Example 1. Consider the sum $S_{1}(n)=\sum_{i=1}^{n} \sum_{j=3}^{i} \sum_{k=j}^{5} 1$, which is described by the Presburger formula $P_{1}(i, j, k ; n)=1 \leq i \leq n \wedge 3 \leq j \leq i \wedge j \leq k \leq 5$. The following table gives the results of using our algorithm for ten values of $n$. Results include the number of solutions to the Presburger formula with $n$ instantiated, the time required to construct the DFA representation of the formula (given in milliseconds), the number of states in the DFA representation of the formula, the time required to count the number of accepting paths in the DFA (given in milliseconds), and the path length $L$. We consider $n=3$ to 8 because Clauss does in [1]. We also consider four larger values of $n$. Pugh expresses the number of solutions as $(\Sigma: 3 \leq n<5: 5 n-12)+(\Sigma: 5 \leq n: 6 n-16)$. Clauss expresses the number of solutions as $\left(\Sigma: 3 \leq n \leq 5: \frac{1}{2} n^{2}+\frac{3}{2} n-6\right)+(\Sigma: 6 \leq n: 6 n-16)$.

| $n$ | \# of <br> formula <br> solutions | DFA <br> time <br> $(\mathrm{ms})$ | \# of <br> DFA <br> states | count <br> time <br> $(\mathrm{ms})$ | $L$ |
| :---: | :---: | :---: | :---: | :---: | :---: |
| 3 | 3 | 3 | 6 | 0.050 |  |
| 4 | 8 | 3 | 12 | 0.095 |  |
| 5 | 14 | 3 | 12 | 0.168 | 3 |
| 6 | 20 | 3 | 13 | 0.174 |  |
| 7 | 26 | 3 | 13 | 0.173 |  |
| 8 | 32 | 4 | 18 | 0.154 | 4 |
| 10 | 44 | 4 | 17 | 0.143 |  |
| 100 | 584 | 8 | 29 | 0.411 | 7 |
| 1000 | 5984 | 20 | 38 | 0.578 | 10 |
| 10,000 | 59,984 | 151 | 49 | 0.794 | 14 |

Example 2. Consider the sum $S_{2}(n)=\sum_{i=1}^{2 n} \sum_{j=1}^{\min (i, 2 n-i)} 1$, which is described by the Presburger formula $P_{2}(i, j ; n)=1 \leq i \leq 2 n \wedge 1 \leq j \leq i \wedge i+j \leq 2 n$. The following table gives the results of using our algorithm for seven values of $n$. We consider $n=1$ to 3 because Clauss does in [1]. We also consider four larger values of $n$. Both Pugh and Clauss express the number of solutions as $\left(\Sigma: 1 \leq n: n^{2}\right)$.

| $n$ | \# of <br> formula <br> solutions | DFA <br> time <br> $(\mathrm{ms})$ | \# of <br> DFA <br> states | count <br> time <br> $(\mathrm{ms})$ | $L$ |
| :---: | :---: | :---: | :---: | :---: | :---: |
| 1 | 1 | 2 | 3 | 0.031 | 1 |
| 2 | 4 | 2 | 6 | 0.043 | 2 |
| 3 | 9 | 3 | 9 | 0.058 | 3 |
| 10 | 100 | 4 | 18 | 0.116 | 5 |
| 100 | 10,000 | 10 | 43 | 0.442 | 8 |
| 1000 | $1,000,000$ | 42 | 65 | 0.772 | 11 |
| 10,000 | $100,000,000$ | 619 | 90 | 1.243 | 15 |

Example 3. Consider the set of linear constraints $\{1 \leq i, j \leq n, 2 i \leq 3 j\}$ which is described by the Presburger formula $P_{3}(i, j ; n)=1 \leq i \wedge j \leq n \wedge 2 i \leq 3 j$. The following table gives the results of using our algorithm for sixteen values of $n$. We consider $n=1$ to 13 because Clauss does in [1]. We also consider three larger values of $n$. Pugh expresses the number of solutions as ( $\Sigma: 1 \leq$ $n: \frac{3 n^{2}+2 n-n \bmod 2}{4}$ ). Clauss expresses the number of solutions as $\left(\Sigma: \frac{2}{3} \leq n\right.$ : $\left.\frac{3}{4} n^{2}+\frac{1}{2} n+\left[-\frac{1}{4}, 0\right]\right)$, where $\left[-\frac{1}{4}, 0\right]$ is a periodic number.

| $n$ | \# of <br> formula <br> solutions | DFA <br> time <br> $(\mathrm{ms})$ | \# of <br> DFA <br> states | count <br> time <br> $(\mathrm{ms})$ | $L$ |
| :---: | :---: | :---: | :---: | :---: | :---: |
| 1 | 1 | 2 | 3 | 0.030 | 1 |
| 2 | 4 | 2 | 5 | 0.040 | 2 |
| 3 | 8 | 2 | 8 | 0.054 |  |
| 4 | 14 | 2 | 11 | 0.075 | 3 |
| 5 | 21 | 2 | 12 | 0.079 |  |
| 6 | 30 | 2 | 15 | 0.095 |  |
| 7 | 40 | 2 | 16 | 0.099 |  |
| 8 | 52 | 2 | 19 | 0.120 | 4 |
| 9 | 65 | 2 | 20 | 0.117 |  |
| 10 | 80 | 2 | 21 | 0.126 |  |
| 11 | 96 | 2 | 21 | 0.131 |  |
| 12 | 114 | 2 | 24 | 0.145 | 5 |
| 13 | 133 | 2 | 23 | 0.146 |  |
| 100 | 7550 | 5 | 58 | 0.698 | 8 |
| 1000 | 750,500 | 14 | 97 | 1.274 | 11 |
| 10,000 | $75,005,000$ | 139 | 138 | 2.226 | 14 |

Example 4. Calculate the number of distinct memory locations touched by the following loop nest.

```
do \(i=1, n+3\)
    do \(j=1, n\)
        \(a[6 i+9 j-7]=a[6 i+9 j-7]+5\)
    enddo
enddo
```

This problem is equivalent to counting the number of distinct values of $6 i+$ $9 j-7$ such that $1 \leq i \leq n+3$ and $1 \leq j \leq n$. The problem is described by the

Presburger formula $P_{4}(\ell ; n)=\exists i, j: \ell=6 i+9 j-7 \wedge 1 \leq i \leq n+3 \wedge 1 \leq j \leq n$. The following table gives the results of using our algorithm for seven values of $n$. We consider $n=3$ to 5 because Clauss does in [1]. We also consider four larger values of $n$. Pugh considers only $n=5$ and finds the number of solutions to be 25. Clauss expresses the number of solutions as ( $\Sigma: 1 \leq n: 5 n$ ).

| $n$ | \# of <br> formula <br> solutions | DFA <br> time <br> $(\mathrm{ms})$ | \# of <br> DFA <br> states | count <br> time <br> $(\mathrm{ms})$ | $L$ |
| :---: | :---: | :---: | :---: | :---: | :---: | :---: |
| 3 | 15 | 6 | 18 | 0.143 | 6 |
| 4 | 20 | 7 | 19 | 0.083 |  |
| 5 | 25 | 7 | 19 | 0.080 | 7 |
| 10 | 50 | 10 | 27 | 0.174 | 8 |
| 100 | 500 | 31 | 40 | 0.291 | 11 |
| 1000 | 5000 | 76 | 57 | 0.441 | 14 |
| 10,000 | 50,000 | 374 | 72 | 0.711 | 18 |

Example 5. Calculate the number of distinct cache lines touched by the following loop nest, which performs Successive Over-Relaxation.

```
do \(\mathrm{i}=2, n-1\)
    do \(j=2, n-1\)
            \(a[i, j]=(2 * a[i, j]+a[i-1, j]\)
                                    \(+a[i+1, j]+a[i, j-1]\)
                        \(+a[i, j+1]) / 6\)
    enddo
enddo
```

Pugh chooses a simple way of mapping array elements to cache lines. He suggests that an array element $a[i, j]$ maps to cache line $[\lfloor(i-1) / 16\rfloor, j]$. Clauss uses the same mapping relation. We acknowledge that this mapping relation is simplistic, but use it to get our first set of results. We extend this example to consider a more interesting mapping relation in a second set of results below.

The problem that Pugh and Clauss consider is described by the Presburger formula

$$
\begin{gathered}
P_{5 a}(x, y ; n)=\exists i, j: 2 \leq i \leq n-1 \wedge 2 \leq j \leq n-1 \wedge \\
((16 x \leq i-1<16(x+1) \wedge y=j) \vee \\
(16 x \leq(i-1)-1<16(x+1) \wedge y=j) \vee \\
(16 x \leq(i+1)-1<16(x+1) \wedge y=j) \vee \\
(16 x \leq i-1<16(x+1) \wedge y=j-1) \vee \\
(16 x \leq i-1<16(x+1) \wedge y=j+1)) .
\end{gathered}
$$

The table in Fig. 5 gives the results of using our algorithm for 37 values of $n$. We consider $n=4$ to 36 because Clauss does in [1]. We consider $n=$ 500 because Pugh does in [9]. We also consider three other values of $n$. Pugh expresses the number of solutions as $(\Sigma: 3 \leq n: n(1+\lfloor(n-2) / 16\rfloor))+$
( $\Sigma: n \bmod 16=1 \wedge n \geq 17: n-2$ ) Clauss expresses the number of solutions as $\left(\Sigma: 1 \leq n: \frac{1}{16} n^{2}+\left[\frac{15}{16}, \frac{7}{8}, \frac{13}{16}, \frac{3}{4}, \frac{11}{16}, \frac{5}{8}, \frac{9}{16}, \frac{1}{2}, \frac{7}{16}, \frac{3}{8}, \frac{5}{16}, \frac{1}{4}, \frac{3}{16}, \frac{1}{8}, \frac{1}{16}, 0\right] n+\right.$ $[-2,0,0,0,0,0,0,0,0,0,0,0,0,0,0,0])$.

Now we extend this example to consider a more interesting mapping relation. An array element $a[i, j]$ maps to cache line $c=\left\lfloor\left(\mu_{a}+\beta((i-1)+n(j-1))\right) / B\right\rfloor$, where $\mu_{a}$ is the starting address of array $a$ in memory, $\beta$ is the array element size in bytes, and $B$ is the blocksize of the cache in bytes. Let $\beta=4$ and $B=64$. This problem is described by the first-order logic formula

$$
\begin{gathered}
P_{5 b}\left(c ; \mu_{a}, n\right)=\exists i, j: 2 \leq i \leq n-1 \wedge 2 \leq j \leq n-1 \wedge \\
\quad\left(64 c \leq \mu_{a}+4(i-1+n(j-1))<64(c+1) \vee\right. \\
64 c \leq \mu_{a}+4((i-1)-1+n(j-1))<64(c+1) \vee \\
64 c \leq \mu_{a}+4((i+1)-1+n(j-1))<64(c+1) \vee \\
64 c \leq \mu_{a}+4(i-1+n((j-1)-1))<64(c+1) \vee \\
\left.64 c \leq \mu_{a}+4(i-1+n((j+1)-1))<64(c+1)\right) .
\end{gathered}
$$

Note that formula $P_{5 b}\left(c ; \mu_{a}, n\right)$ is not a Presburger formula unless the value of $n$ is instantiated, as $n \cdot j$ is not a term of Presburger arithmetic. Speaking of his simple way of mapping array elements to cache lines in [9], Pugh suggests, "We could also assume more general mappings, in which the cache lines can wrap from one row to another and in which we don't know the alignment of the first element of the array with the cache lines." Such a mapping is exactly what we have above, and the formulation of the general mapping is not expressible in Presburger arithmetic for symbolic $n$. Therefore, Pugh would not be able to handle such a mapping without instantiating $n$ as we have done.

We consider a version of formula $P_{5 b}\left(c ; \mu_{a}, n\right)$ simplified using the Omega Calculator $[29,30]$. The following table gives the results of using our algorithm for $\mu_{a} \in\{0,21324\}$ and $n \in\{100,1000\}$. Note that starting address $\mu_{a}=0$ aligns with a cache line boundary and $\mu_{a}=21,324$ does not, accounting for the different solution counts. Also note that solution counts differ between formulas $P_{5 a}$ and $P_{5 b}$, with counts for the latter formula being no larger than the former. This is as expected, being the result of cache lines wrapping across rows of the array.

| $\mu_{a}$ | $n$ | \# of <br> formula <br> solutions | DFA <br> time <br> $(\mathrm{ms})$ | \# of <br> DFA <br> states | count <br> time <br> $(\mathrm{ms})$ | $L$ |
| :---: | :---: | :---: | :---: | :---: | :---: | :---: |
|  | 100 | 625 | 23 | 19 | 0.142 | 10 |
|  | 1000 | 62,500 | 3604 | 30 | 0.188 | 16 |
| 21,324 | 100 | 626 | 35 | 28 | 0.179 | 10 |
|  | 1000 | 62,501 | 3526 | 46 | 0.365 | 16 |

Example 6. Consider the $\operatorname{sum} S_{6}(m, n)=\sum_{i=1}^{n} \sum_{j=1}^{i} \sum_{k=j}^{m} 1$, which is described by Presburger formula $P_{6}(i, j, k ; m, n)=1 \leq i \leq n \wedge 1 \leq j \leq i \wedge j \leq k \leq m$. The following table gives the results of using our algorithm for several values of $m$ and $n$. We consider $m=4$ to 7 and $n=4$ because Clauss does

| $n$ | \# of formula solutions | $\begin{aligned} & \mathrm{DFA} \\ & \text { time } \\ & (\mathrm{ms}) \end{aligned}$ | \# of <br> DFA <br> states | $\begin{array}{\|c\|} \hline \text { count } \\ \text { time } \\ (\mathrm{ms}) \\ \hline \end{array}$ | $L$ |
| :---: | :---: | :---: | :---: | :---: | :---: |
| 4 | 4 | 64 | 6 | 0.041 |  |
| 5 | 5 | 65 | 7 | 0.045 | 3 |
| 6 | 6 | 65 | 7 | 0.045 | 3 |
| 7 | 7 | 67 | 7 | 0.044 |  |
| 8 | 8 | 65 | 8 | 0.050 |  |
| 9 | 9 | 70 | 9 | 0.054 |  |
| 10 | 10 | 67 | 9 | 0.055 |  |
| 11 | 11 | 71 | 9 | 0.052 | - |
| 12 | 12 | 69 | 9 | 0.053 | 4 |
| 13 | 13 | 72 | 10 | 0.063 |  |
| 14 | 14 | 70 | 10 | 0.064 |  |
| 15 | 15 | 72 | 9 | 0.051 |  |
| 16 | 16 | 69 | 10 | 0.062 |  |
| 17 | 32 | 85 | 21 | 0.110 |  |
| 18 | 36 | 75 | 22 | 0.110 |  |
| 19 | 38 | 92 | 20 | 0.103 |  |
| 20 | 40 | 90 | 20 | 0.101 |  |
| 21 | 42 | 91 | 22 | 0.111 |  |
| 22 | 44 | 91 | 22 | 0.107 |  |
| 23 | 46 | 91 | 19 | 0.100 | 5 |
| 24 | 48 | 86 | 19 | 0.098 | 5 |
| 25 | 50 | 93 | 23 | 0.115 |  |
| 26 | 52 | 86 | 23 | 0.114 |  |
| 27 | 54 | 92 | 21 | 0.105 |  |
| 28 | 56 | 90 | 21 | 0.130 |  |
| 29 | 58 | 92 | 23 | 0.114 |  |
| 30 | 60 | 91 | 23 | 0.115 |  |
| 31 | 62 | 92 | 18 | 0.091 |  |
| 32 | 64 | 76 | 19 | 0.095 |  |
| 33 | 97 | 104 | 35 | 0.255 |  |
| 34 | 102 | 88 | 35 | 0.243 | 6 |
| 35 | 105 | 109 | 32 | 0.227 |  |
| 36 | 108 | 101 | 32 | 0.228 |  |
| 100 | 700 | 148 | 50 | 0.475 | 7 |
| 500 | 16,000 | 212 | 76 | 0.826 | 9 |
| 1000 | 63,000 | 243 | 96 | 1.112 | 10 |
| 10,000 | 6,250,000 | 770 | 151 | 2.368 | 14 |

Fig. 5. Results of using our counting algorithm on Presburger formula $P_{5 a}(x, y ; n)$.
in [1]. We also consider four larger values of $m$ and $n$. Pugh expresses the number of solutions as $\left(\Sigma: 1 \leq n \leq m: \frac{m n^{2}}{2}-\frac{n^{3}}{6}+\frac{n m}{2}+\frac{n}{6}\right)+(\Sigma: 1 \leq$ $m<n: \frac{m^{2} n}{2}-\frac{m^{3}}{6}+\frac{n m}{2}+\frac{m}{6}$ ). Clauss expresses the number of solutions as $\left(\Sigma: 1 \leq n \leq m:-\frac{n^{3}}{6}+\frac{n^{2} m}{2}+\frac{n m}{2}+\frac{n}{6}\right)+\left(\Sigma: 1 \leq m \leq n:-\frac{m^{3}}{6}+\frac{m^{2} n}{2}+\frac{m n}{2}+\frac{m}{6}\right)$.

| $m$ | $n$ | \# of <br> formula <br> solutions | DFA <br> time <br> $(\mathrm{ms})$ | \# of <br> DFA <br> states | count <br> time <br> $(\mathrm{ms})$ | $L$ |
| :---: | :---: | :---: | :---: | :---: | :---: | :---: |
| 4 | 4 | 30 | 3 | 14 | 0.197 |  |
| 5 | 4 | 40 | 2 | 15 | 0.196 | 3 |
| 6 | 4 | 50 | 2 | 17 | 0.145 |  |
| 7 | 4 | 60 | 2 | 14 | 0.184 |  |
| 10 | 10 | 385 | 4 | 31 | 0.524 | 4 |
| 100 | 100 | 338,350 | 11 | 87 | 1.657 | 7 |
| 1000 | 1000 | $333,833,500$ | 32 | 136 | 3.079 | 10 |
| 10,000 | 10,000 | $333,383,335,000$ | 284 | 198 | 5.340 | 14 |

Example 7. Calculate the number of flops for the following loop nest.

```
do i = 0, n
    do j = 0, i+m/2
        do k = 0, i-n+p
            a[i,j,k] = a[i,j,k-1] + a[k,j,k] * a[i,k,k]
        enddo
    enddo
enddo
```

More precisely, Clauss calculates the number of iterations in the loop nest. This problem is described by the Presburger formula $P_{7}(i, j, k ; m, n, p)=0 \leq$ $i \leq n \wedge 0 \leq j \leq i+m / 2 \wedge 0 \leq k \leq i-n+p$. We consider $m=1$ to $8, n=9$, and $p=1$ to 8 because Clauss does in [1], and show those results in Fig. 6. In the following table, we consider larger values of $m, n$, and $p$. Clauss expresses the number of solutions as ( $\Sigma: n>p: \frac{1}{2} n p^{2}+\frac{3}{2} n p+n+\frac{1}{4} m p^{2}+\frac{3}{4} m p+\frac{1}{2} m-$ $\left.\frac{1}{6} p^{3}+\left[-\frac{1}{4}, 0\right]_{m} p^{2}+\left[\frac{5}{12}, \frac{7}{6}\right]_{m} p+\left[\frac{1}{2}, 1\right]_{m}\right)$.

| $n$ | $m, p$ | \# of <br> formula <br> solutions | DFA <br> time <br> $(\mathrm{ms})$ | \# of <br> DFA <br> states | count <br> time <br> $(\mathrm{ms})$ | $L$ |
| :---: | :---: | :---: | :---: | :---: | :---: | :---: |
| 11 | 10 | 902 | 3 | 20 | 0.152 | 5 |
| 101 | 100 | 611,252 | 6 | 48 | 0.608 | 8 |
| 1001 | 1000 | $586,087,502$ | 19 | 81 | 1.073 | 11 |
| 10,001 | 10,000 | $583,608,375,002$ | 205 | 115 | 1.975 | 14 |

Example 8. Consider Presburger formula $P_{8}\left(j_{1}, j_{2}, s, d ; \mu_{X}\right)$ in Fig. 7. This formula represents the interior misses incurred by array $X$ due to interference from array $A$ during a matrix-vector multiplication. See [3] for a more detailed description of the matrix-vector multiply loop nest and the execution parameters.

|  | 1 |  |  |  | 2 |  |  |  | 3 |  |  |  | 4 |  |  |  |
| :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: |
| $m$ | $\begin{aligned} & \text { \# of } \\ & \text { solns } \end{aligned}$ | $\begin{array}{\|l\|} \hline \text { DFA } \\ (\mathrm{ms}) \end{array}$ | $\begin{array}{\|c\|} \hline \text { \# of } \\ \text { states } \end{array}$ | $\begin{gathered} \text { count } \\ (\mathrm{ms}) \end{gathered}$ | $\begin{array}{\|c\|} \hline \text { \# of } \\ \text { solns } \end{array}$ | $\begin{array}{\|l\|} \hline \mathrm{DFA} \\ (\mathrm{~ms}) \end{array}$ | $\begin{gathered} \hline \text { \# of } \\ \text { states } \end{gathered}$ | $\begin{gathered} \text { count } \\ (\mathrm{ms}) \end{gathered}$ | $\left.\begin{array}{\|c\|} \hline \text { \# of } \\ \text { solns } \end{array} \right\rvert\,$ | $\begin{array}{\|l\|} \hline \text { DFA } \\ (\mathrm{ms}) \end{array}$ | $\begin{array}{\|c\|} \hline \text { \# of } \\ \text { states } \\ \hline \end{array}$ | $\begin{gathered} \text { count } \\ (\mathrm{ms}) \end{gathered}$ | $\begin{array}{\|c\|} \hline \# \text { of } \\ \text { solns } \end{array}$ | $\begin{array}{\|l\|} \hline \text { DFA } \\ (\mathrm{ms}) \end{array}$ | $\begin{array}{\|c} \hline \text { \# of } \\ \text { states } \end{array}$ | $\begin{gathered} \text { count } \\ (\mathrm{ms}) \end{gathered}$ |
| 1 | 29 | 3 | 9 | 0.069 | 56 | 3 | 10 | 0.080 | 90 | 3 | 11 | 0.090 | 130 | 3 | 12 | 0.096 |
| 2 | 32 | 3 | 9 | 0.068 | 62 | 3 | 13 | 0.168 | 100 | 3 | 12 | 0.093 | 145 | 3 | 15 | 0.177 |
| 3 | 32 | 3 | 9 | 0.069 | 62 | 3 | 13 | 0.166 | 100 | 3 | 12 | 0.094 | 145 | 3 | 15 | 0.176 |
| 4 | 35 | 3 | 9 | 0.071 | 68 | 3 | 12 | 0.093 | 110 | 3 | 13 | 0.168 | 160 | 3 | 13 | 0.096 |
| 5 | 35 | 3 | 9 | 0.072 | 68 | 3 | 12 | 0.091 | 110 | 3 | 13 | 0.167 | 160 | 3 | 13 | 0.098 |
| 6 | 38 | 3 | 9 | 0.068 | 74 | 3 | 13 | 0.168 | 120 | 3 | 12 | 0.091 | 175 | 3 | 15 | 0.179 |
| 7 | 38 | 3 | 9 | 0.070 | 74 | 3 | 13 | 0.167 | 120 | 3 | 12 | 0.091 | 175 | 3 | 15 | 0.181 |
| 8 | 41 | 3 | 9 | 0.069 | 80 | 3 | 12 | 0.095 | 130 | 3 | 13 | 0.168 | 190 | 3 | 14 | 0.173 |


| $m$ | 5 |  |  |  | 6 |  |  |  | / 7 |  |  |  | \% 8 |  |  |  |
| :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: |
|  | $\begin{array}{\|l\|} \hline \text { \# of } \\ \text { solns } \end{array}$ | $\begin{aligned} & \hline \text { DFA } \\ & (\mathrm{ms}) \end{aligned}$ | $\begin{array}{\|c\|} \hline \text { \# of } \\ \text { states } \\ \hline \end{array}$ | $\begin{gathered} \begin{array}{c} \text { count } \\ (\mathrm{ms}) \end{array} \\ \hline \end{gathered}$ | $\begin{array}{\|l\|} \hline \# \text { of } \\ \text { solns } \end{array}$ | $\begin{array}{\|l\|} \hline \text { DFA } \\ (\mathrm{ms}) \end{array}$ | $\begin{gathered} \text { \# of } \\ \text { states } \end{gathered}$ | $\begin{array}{\|c\|} \hline \text { count } \\ (\mathrm{ms}) \\ \hline \end{array}$ | $\left.\begin{array}{\|c\|} \hline \# \text { of } \\ \text { solns } \end{array} \right\rvert\,$ | $\begin{array}{\|l\|} \hline \text { DFA } \\ (\mathrm{ms}) \end{array}$ | $\begin{array}{\|c} \text { \# of } \\ \text { states } \end{array}$ | $\begin{array}{\|c\|} \hline \text { count } \\ (\mathrm{ms}) \end{array}$ | $\left.\begin{array}{\|c\|} \hline \# \text { of } \\ \text { solns } \end{array} \right\rvert\,$ | $\begin{array}{\|l\|} \hline \mathrm{DFA} \\ (\mathrm{~ms}) \end{array}$ | $\begin{array}{\|c\|} \hline \text { \# of } \\ \text { states } \\ \hline \end{array}$ | $\begin{gathered} \text { count } \\ (\mathrm{ms}) \\ \hline \end{gathered}$ |
| 1 | 175 | 3 | 13 | 0.164 | 224 | 2 | 13 | 0.098 | 276 | 3 | 15 | 0.177 | 330 | 2 | 14 | 0.173 |
| 2 | 196 | 3 | 14 | 0.170 | 252 | 3 | 17 | 0.127 | 312 | 3 | 16 | 0.181 | 375 | 3 | 18 | 0.129 |
|  | 196 | 3 | 14 | 0.171 | 252 | 3 | 17 | 0.124 | 312 | 3 | 16 | 0.183 | 375 | 3 | 18 | 0.130 |
| 4 | 217 | 3 | 15 | 0.177 | 280 | 3 | 16 | 0.185 | 348 | 3 | 17 | 0.124 | 420 | 3 | 16 | 0.180 |
| 5 | 217 | 3 | 15 | 0.178 | 280 | 3 | 16 | 0.186 | 348 | 3 | 17 | 0.125 | 420 | 3 | 16 | 0.178 |
| 6 | 238 | 3 | 14 | 0.172 | 308 | 3 | 17 | 0.129 | 384 | 3 | 15 | 0.278 | 465 | 3 | 18 | 0.127 |
| 7 | 238 | 3 | 14 | 0.171 | 308 | 3 | 17 | 0.127 | 384 | 3 | 15 | 0.181 | 465 | 3 | 18 | 0.132 |
| 8 | 259 | 3 | 15 | 0.182 | 336 | 3 | 15 | 0.177 | 420 | 3 | 17 | 0.128 | 510 | , | 17 | 0.125 |

Fig. 6. Results of using our counting algorithm on Presburger formula $P_{7}(i, j, k ; m, n, p)$ for $m=1$ to $8, n=9$, and $p=1$ to 8 . In all cases, the path length $L$ is 4 .



```
                925+100j}\mp@subsup{j}{1}{}+\mp@subsup{j}{2}{}\leq1024d+4s\wedge8192d+32s\leq\mp@subsup{\mu}{X}{}+8\mp@subsup{j}{2}{}\wedge ^ \mp@subsup{\mu}{X}{}+8\mp@subsup{j}{2}{}\leq7+8192d+32s
```



```
        25\mp@subsup{j}{1}{}\leqs+256\alpha^8192d+32s\leq\mp@subsup{\mu}{X}{}+8\mp@subsup{j}{2}{}\wedge ^ \mp@subsup{\mu}{X}{}+8\mp@subsup{j}{2}{}\leq7+8192d+32s ^ 4s+1024\alpha< 100j
```



```
            \mp@subsup{\mu}{X}{}+8\mp@subsup{j}{2}{}\leq31+8192d+32s\wedge 1021+100j\mp@subsup{j}{1}{}+\mp@subsup{j}{2}{}\leq1024d+4s\wedge 100j\mp@subsup{j}{1}{}+\mp@subsup{j}{2}{}\leq3+4s+1024\alpha\wedge
```



```
        32s+8192d\leq \mu
```



```
    \mu
```




```
    0\leqs\leq255^8192d+32s\leq\mp@subsup{\mu}{X}{}+8\mp@subsup{j}{2}{}\wedge 的X+8\mp@subsup{j}{2}{}\leq31+8192d+32s^1024+1024d+4s\leq100\mp@subsup{j}{1}{}+\mp@subsup{j}{2}{}
                                    \wedge 100 j}
```

Fig. 7. Presburger formula $P_{8}\left(j_{1}, j_{2}, s, d ; \mu_{X}\right)$ describing interior misses on array $X$ due to interference from array $A$ during matrix-vector multiply.

In the formula, $\mu_{X}$ is a symbolic constant and it represents the starting address of array $X$ in memory. The following table gives the results of using our algorithm for three values of $\mu_{X}$. Example 8 demonstrates our claim that our algorithm can handle non-smooth formulas. The other seven examples are quite continuous, but this formula is very "spikey" for various values of $\mu_{X}$ (as Fig. 2 in Fricker et al. [12] shows).

| $\mu_{X}$ | $\#$ of <br> formula <br> solutions | DFA <br> time <br> $(\mathrm{ms})$ | $\#$ of <br> DFA <br> states | count <br> time <br> $(\mathrm{ms})$ | $L$ |
| :---: | :---: | :---: | :---: | :---: | :---: |
| 81,920 | 325 | 978 | 109 | 1.770 | 7 |
| 80,000 | 225 | 929 | 110 | 1.660 | 8 |
| 85,440 | 250 | 800 | 126 | 2.125 | 8 |

Observations. The following are five noteworthy observations on the results.


Fig. 8. Path counting time (in milliseconds) versus the number of states for 160 DFAs. The curve $y=0.0025 x^{1.4}$ is fitted to the data.

1. The number of DFA states does not grow significantly as the values of the size constants increase, showing good scalability.
2. For all 160 DFAs considered in this section, Fig. 8 plots the time required to count all accepting paths against the number of DFA states. This figure illustrates a subquadratic relationship between the number of states and counting time. Notice that counting time is markedly sublinear in the number of solutions.
3. The running times show that the counting algorithm is far less expensive that the DFA-construction algorithms of Bartzis and Bultan [22, 23]. However, both are clearly quite fast.
4. Only formulas $P_{5 b}\left(c ; \mu_{a}, n\right)$ and $P_{8}\left(j_{1}, j_{2}, s, d ; \mu_{X}\right)$ require simplification before our counting method is applied. For formula $P_{5 b}$, the total amount of time to simplify all instantiations is less than 0.5 seconds. For formula $P_{8}$, the total amount of time to simplify all instantiations is less than 0.3 seconds. The Omega Calculator $[29,30]$ is used for all simplifications.
5. The example formulas presented here contain large values, often up to 10,000 . The fast running times demonstrate that our counting method can handle such values.

## 6 Conclusions and Future Work

We have presented an automata-theoretic algorithm for counting integer solutions to selected free variables of a Presburger formula. Demonstration of our counting algorithm on eight examples shows that it is robust, universal, fast, and scalable. The time required to count all accepting paths scales well with the number of DFA states and solutions.

The polyhedral methods of Pugh and Clauss and our automata-theoretic method have complementary strengths. While the formulas in Figs. 1 and 2.b would produce the same DFA in the end, the DFA construction time for the formula of Fig. 1 would be prohibitively large. We therefore routinely simplify complicated Presburger formulas such as the one in Fig. 1, $P_{5 b}$, and $P_{8}$ as much as possible using the Omega Calculator before constructing the DFAs and counting solutions. On the other hand, by instantiating the symbolic parameters, our counting algorithm can handle non-smooth formulas that are too difficult to handle symbolically using polyhedral methods. Therefore, in cases where the symbolic methods of Pugh and Clauss can be used simply and quickly, they should be. For cases which are difficult or impossible for the methods of Pugh and Clauss to handle, our counting algorithm is a nice alternative. Our algorithm could also be effectively used as a subroutine in Clauss' method to count the number of solutions for the necessary number of small-sized polyhedra.

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[^1]:    ${ }^{3}$ Although $i, j, k$, and $n$ are all free variables of the formula in the terminology of logic, we distinguish between those free variables that appear as summation indices in the corresponding sum and those that do not.

[^2]:    ${ }^{4}$ An interior miss is a particular type of cache miss introduced by Chatterjee et al. [3].

